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# Acyclic List Edge Coloring of Graphs

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# Overview

- Acyclic edge coloring
- Acyclic list edge coloring
- Useful lemmas
- Outerplanar graphs
- Subcubic graphs
- Attaching a cycle
- Halin graphs
- Open problems

# Overview

- **Acyclic edge coloring**
- Acyclic list edge coloring
- Lemmas for extending graphs
- Outerplanar graphs
- Subcubic graphs
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- Halin graphs
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All graphs in this talk are finite, without loops or parallel edges.

The **chromatic number**  $\chi(G)$  of  $G$  is the least number of colors needed in a proper vertex coloring of  $G$ .

The **chromatic index**  $\chi'(G)$  of  $G$  is the least number of colors needed in a proper edge coloring of  $G$ .

A proper coloring of the vertices (or edges) of a graph  $G$  is called **acyclic** if there is no 2-colored cycle in  $G$ .

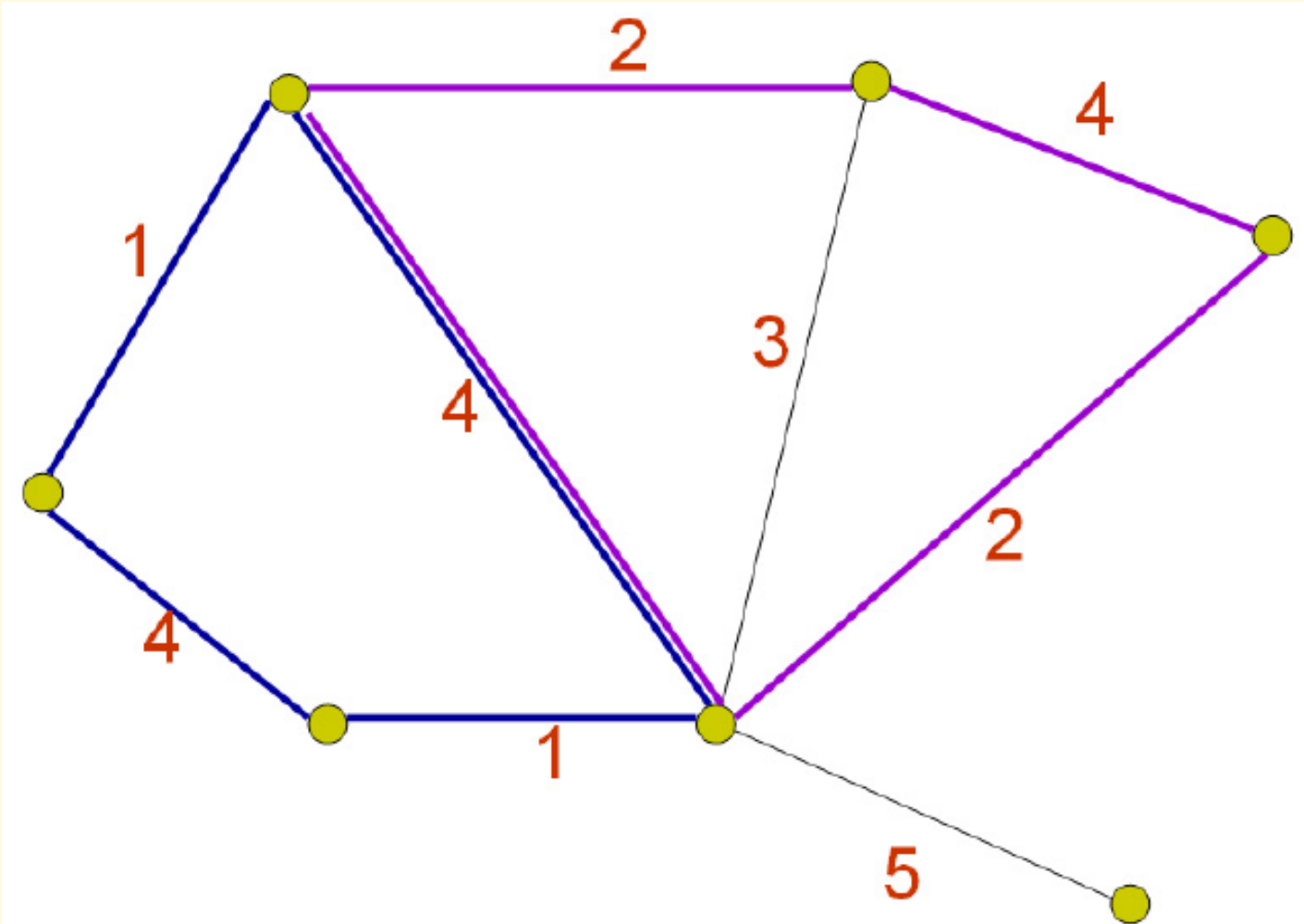


Every cycle of  $G$  is colored with at least 3 colors.

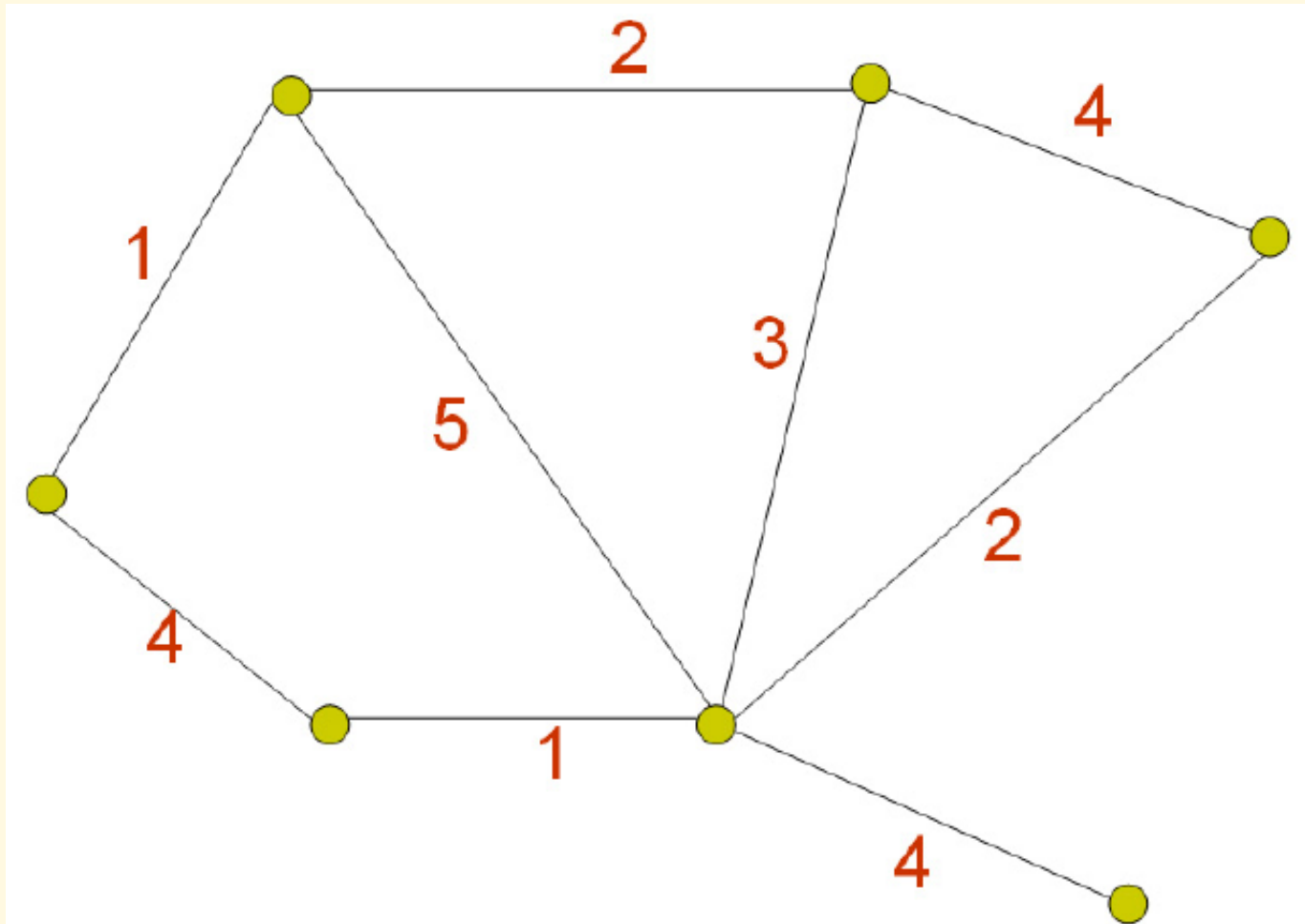


The union of any two color classes induces a subgraph of  $G$  that is a forest.

# 5-edge coloring



# acyclic 5-edge coloring



The **acyclic chromatic number**  $a(G)$  of  $G$  is the least number of colors in an acyclic vertex coloring of  $G$ .

The **acyclic chromatic index**  $a'(G)$  of  $G$  is the least number of colors in an acyclic edge coloring of  $G$ .

## Vizing's Theorem (1964)

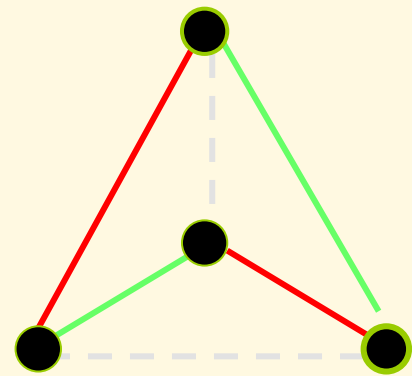
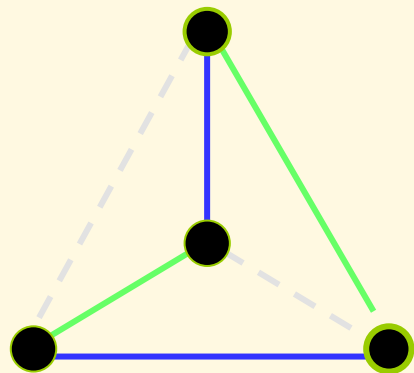
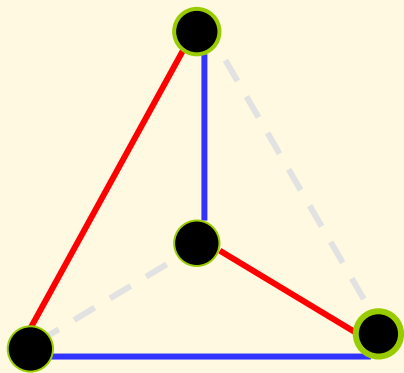
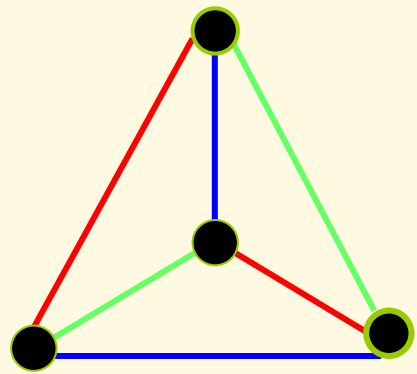
$$\Delta(G) \leq \chi'(G) \leq \Delta(G) + 1$$

$\Delta(G)$ : the maximum degree of  $G$

Question:  $a'(G) \leq \Delta(G) + 1$  ?

No!

$$a'(K_{2n}) > \Delta(K_{2n}) + 1 = 2n \text{ for } n \geq 2.$$



# General upper bounds for $a'(G)$

Molloy, Reed (1998):

$$a'(G) \leq 16\Delta(G)$$

Muthu, Narayanan, Subramanian

(2005): When the **girth**, the length of a shortest cycle, of  $G$  is at least 220,

$$a'(G) \leq 4.52\Delta(G)$$

# Acyclic Edge Coloring Conjecture:

$$a'(G) \leq \Delta(G) + 2$$

Proposed independently by

Fiamčík in 1978 and

Alon, Sudakov, Zaks in 2001.

**Fiamčík** (1984): If  $\Delta(G) \leq 3$  and  $G$  has neither  $K_4$  nor  $K_{3,3}$  as a component, then  $a'(G) \leq 4$ , whereas  $a'(K_4) = a'(K_{3,3}) = 5$ .

**Alon, Sudakov, Zaks** (2001): There exists a constant  $c$  such that  $a'(G) \leq \Delta(G) + 2$  for any  $G$  whose girth is at least  $c\Delta(G)\log\Delta(G)$ .

Alon, Zaks (2002): It is NP-complete to determine whether  $a'(G) \leq 3$ .

Skulrattankulchai (2004): A polynomial time algorithm to color a subcubic graph using 5 colors.

Nešetřil, Wormald (2005):

$a'(G) \leq \Delta(G) + 1$  for a random  $\Delta$ -regular graph.

Muthu, Narayanan, Subramaniann  
(2005):

$a'(G) \leq \Delta(G) + 1$  if  $G$  is a partial 2-tree,  
an outerplanar graph, or a partial torus.

Basavaraju, Sunil Chandran (2008):

$a'(G) \leq \Delta(G) + 1$  if  $G$  is a 2-degenerate  
graph.

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An **edge-list**  $L$  assigns a finite set of positive integers to each edge of  $G$ .

Let  $f: E(G) \rightarrow \mathbf{N}$ . An edge-list  $L$  is an  **$f$ -edge-list** if  $|L(e)| \geq f(e)$  for every edge  $e$ .

An acyclic edge coloring  $\varphi$  of  $G$  satisfying  $\varphi(e) \in L(e)$  for every edge  $e$  is called an **acyclic  $L$ -edge coloring** of  $G$ .

A graph  $G$  is said to be **acyclically  $f$ -edge choosable** if it has an acyclic  $L$ -edge coloring for any  $f$ -edge-list  $L$ .

The **acyclic list chromatic index**  $a_{\text{list}}'(G)$  is the least integer  $k$  such that  $G$  is acyclically  $k$ -edge choosable.

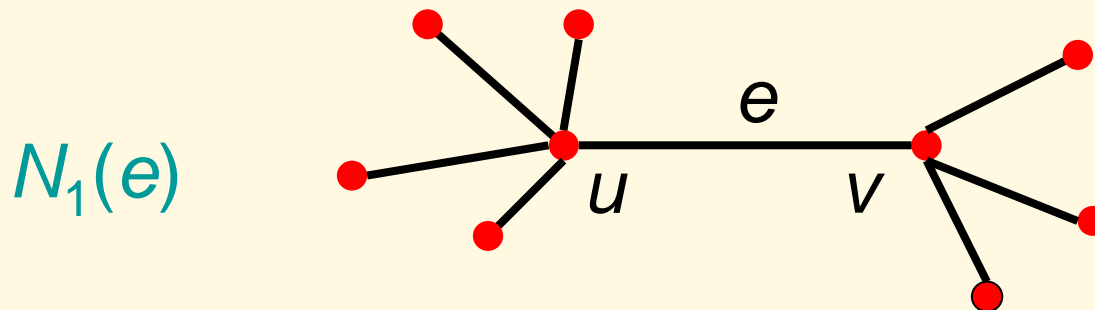
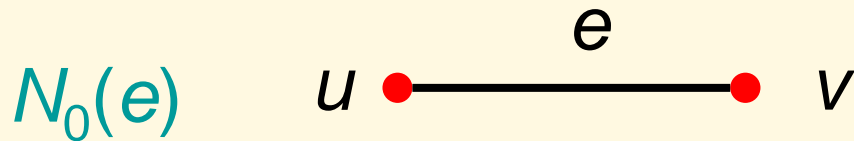
$$\Delta(G) \leq \chi'(G) \leq a'(G) \leq a_{\text{list}}'(G).$$

**Lemma.** Assume that  $f_1$  and  $f_2$  are two mappings from  $E(G)$  to  $\mathbf{N}$  such that  $f_1(e) \leq f_2(e)$  for each  $e$ . If  $G$  is acyclically  $f_1$ -edge choosable, then  $G$  is acyclically  $f_2$ -edge choosable.

**Lemma.** If  $H$  is a subgraph of a graph  $G$ , then  $a_{\text{list}}'(H) \leq a_{\text{list}}'(G)$ .

**Lemma.** If  $G_1, G_2, \dots, G_k$  are all the components of  $G$ , then  $a_{\text{list}}'(G) = \max\{a_{\text{list}}'(G_1), a_{\text{list}}'(G_2), \dots, a_{\text{list}}'(G_k)\}$ .

Let  $e = uv$  be an edge of the graph  $G$ .  
Let  $N_0(e)$  and  $N_1(e)$  denote the sets  $\{u, v\}$  and  $\{x : xu \in E(G) \text{ or } xv \in E(G)\}$ , respectively.



Let  $e = uv$  be an edge of the graph  $G$ .  
Let  $N_0(e)$  and  $N_1(e)$  denote the sets  
 $\{u, v\}$  and  $\{x : xu \in E(G) \text{ or } xv \in E(G)\}$ ,  
respectively.

For  $i = 0$  and  $1$ , let  $\Delta_i$  denote the  
mapping

$$\Delta_i(e) = \max\{\deg(x) : x \in N_i(e)\}$$

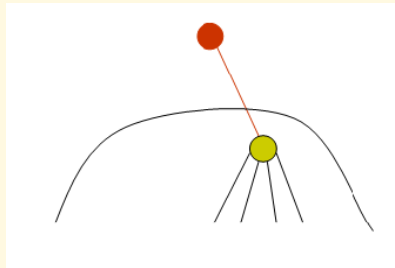
for each edge  $e$ .

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## Adding a leaf

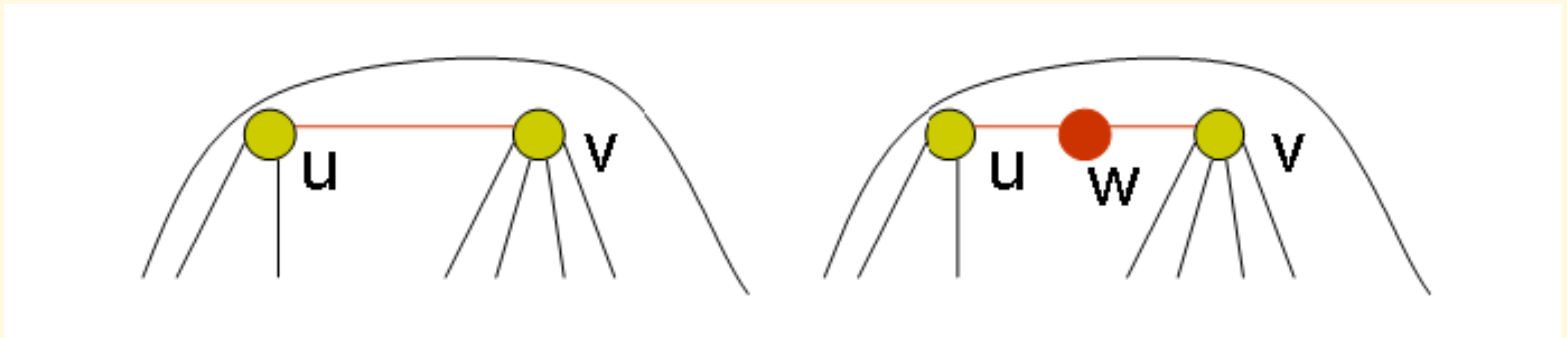
Let  $u$  be a leaf of  $G$ . If  $G - u$  is acyclically  $\Delta_0$ -edge choosable, so is  $G$  and  $a_{\text{list}}'(G) = \max\{a_{\text{list}}'(G - u), \Delta(G)\}$ .



If  $G$  is a **tree**, then  $G$  is acyclically  $\Delta_0$ -edge choosable and  $a_{\text{list}}'(G) = \Delta(G)$ .

## Subdividing an edge

If  $G$  is obtained from an acyclically  $(\Delta_1 + 1)$ -edge choosable graph  $H$  by subdividing an edge, then  $G$  is  $(\Delta_1 + 1)$ -edge choosable.

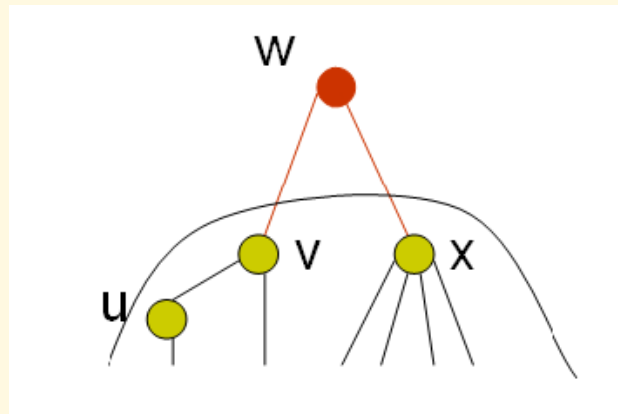


If  $C$  is a cycle, then  $a_{\text{list}}'(C) = 3$ .

## Adding a path

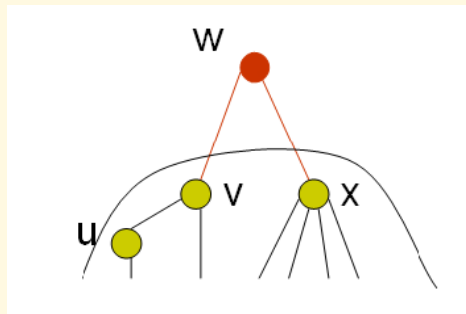
Let  $\deg(w) = 2$  in  $G$ . Let  $|L(e)| \geq \Delta_0(e) + 1$  for each edge  $e$  containing  $w$ .

Suppose that  $G - w$  has an acyclic  $L$ -edge coloring  $\varphi$ , then  $\varphi$  can be extended to an acyclic  $L$ -edge coloring of  $G$  if all of the following conditions hold.



## Adding a path

1.  $\deg(v) + \deg(x) \leq |L(wx)| + 3$ .
2. If  $\deg(v) + \deg(x) = |L(wx)| + 3$ , then  $v$  and  $x$  are adjacent.
3. If  $\deg(v) + \deg(x) \geq |L(wx)| + 2$ , then  $\deg(u) + \deg(v) \leq |L(vw)| + 1$  for some neighbor  $u \neq w$  of  $v$ .



## Adding a path

Assume that  $u$  and  $x$  are distinct vertices of a graph  $H$  and  $G$  is obtained from  $H$  by adding a new path of length at least **3** connecting  $u$  and  $x$ . If  $H$  is acyclically  $(\Delta_0 + 1)$ -edge choosable, so is  $G$ .

If  $a_{\text{list}}'(H) \leq \Delta_0(H) + 1$ , then  $a_{\text{list}}'(G) \leq \Delta_0(G) + 1$ .

## Adding a path

Assume that  $u$  and  $y$  are two vertices of a graph  $H$  and  $G$  is obtained from  $H$  by adding a new path of length at least 4 connecting  $u$  and  $y$  and  $\Delta(G) \geq 3$ .

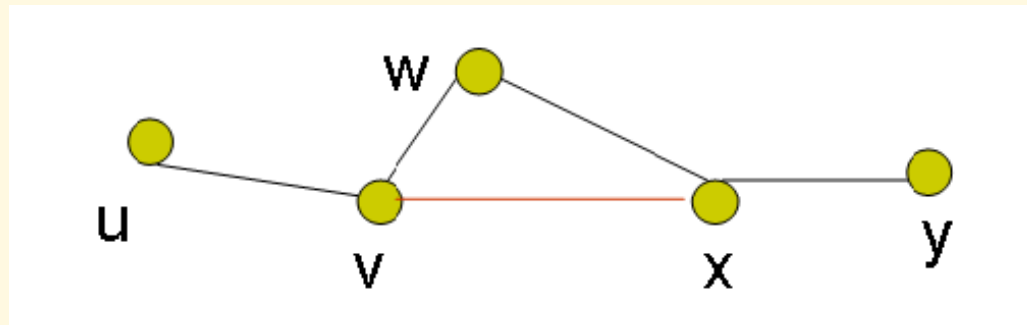
If  $a_{\text{list}}'(H) = \Delta_0(H)$ , then  $a_{\text{list}}'(G) = \Delta_0(G)$ .

## Joining two vertices of degree 2 (type A)

Let  $H$  be a graph and  $uvwxy$  be a path of  $H$  in which  $v$  and  $x$  are non-adjacent vertices of degree 2 and  $w$  is their unique common neighbor. Let  $G$  be a graph obtained from  $H$  by adding a new edge joining  $v$  and  $x$ . Let  $L$  be an edge-list of  $G$  such that  $|L(e)| \geq \Delta_0(e) + 1$  for  $e \in \{uv, vw, wx, xy\}$  and  $|L(vx)| \geq \Delta_1(vx) + 1$ .

If  $H$  has an acyclic  $L$ -edge coloring, then  $G$  has an acyclic  $L$ -edge coloring under some conditions:

- (i)  $\max\{\deg(u), \deg(w), \deg(y)\} \leq 3$ ;
- (ii) at most one of  $u$  and  $y$  is of degree  $\Delta(H)$ .



## Joining two vertices of degree 2 (type A)

Let  $H$  be a graph with  $\Delta(H) \leq 3$ .

Suppose that  $v$  and  $x$  are non-adjacent vertices of degree 2 and  $w$  is their unique common neighbor. Let  $G$  be a graph obtained from  $H$  by adding a new edge joining  $v$  and  $x$ . If  $H$  is acyclically  $(\Delta_0 + 1)$ -edge choosable, then  $G$  is  $(\Delta_0 + 1)$ -edge choosable.

## Joining two vertices of degree 2 (type A)

Let  $H$  be a graph with  $\Delta(H) \geq 4$ .

Suppose that  $v$  and  $x$  are non-adjacent vertices of degree 2 and  $w$  is their unique common neighbor. Let  $G$  be a graph obtained from  $H$  by adding a new edge joining  $v$  and  $x$ . If  $H$  is acyclically  $(\Delta_1 + 1)$ -edge choosable, then  $G$  is  $(\Delta_1 + 1)$ -edge choosable under **some conditions**.

## Joining two vertices of degree 2 (type B)

Let  $H$  be a graph with two non-adjacent vertices  $v$  and  $x$  of degree 2 such that each of them has a neighbor of degree at most 3. Let  $G$  be a graph obtained from  $H$  by adding a new edge joining  $v$  and  $x$ . Let  $L$  be an edge-list of  $G$  such that  $|L(e)| \geq \max\{\Delta_1(e) + 1, 5\}$  for any edge  $e$  incident with  $v$  or  $x$ . If  $H$  has an acyclic  $L$ -edge coloring, so does  $G$ .

## Joining two vertices of degree 2 (type B)

Let  $H$  be a graph with two non-adjacent vertices  $v$  and  $x$  of degree 2 such that each of them has a neighbor of degree at most 3. Let  $G$  be a graph obtained from  $H$  by adding a new edge joining  $v$  and  $x$ .

If  $H$  is  $(\Delta_1 + 1)$ -edge choosable, then  $G$  is  $\max\{\Delta_1 + 1, 5\}$ -edge choosable.

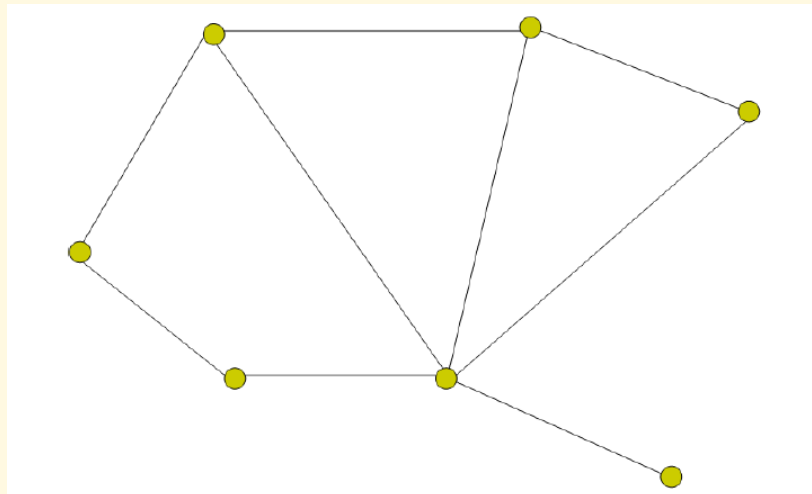
If  $a_{\text{list}}'(H) \leq \Delta(H) + 1$ , then  $a_{\text{list}}'(G) \leq \Delta(G) + 1$ .

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# Outerplanar graphs

A graph is **outerplanar** if it has an embedding in the Euclidean plane such that every vertex lies on the unbounded face.

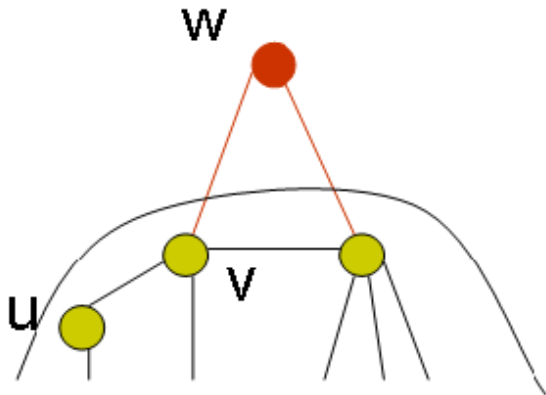
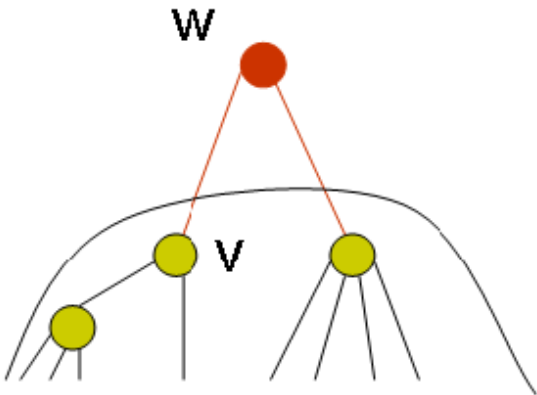
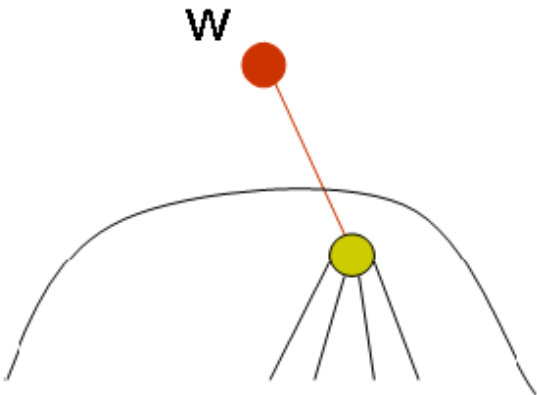


# Outerplanar graphs

(Hackmann, Kemnitz, 2001) Let  $G$  be an outerplanar graph.

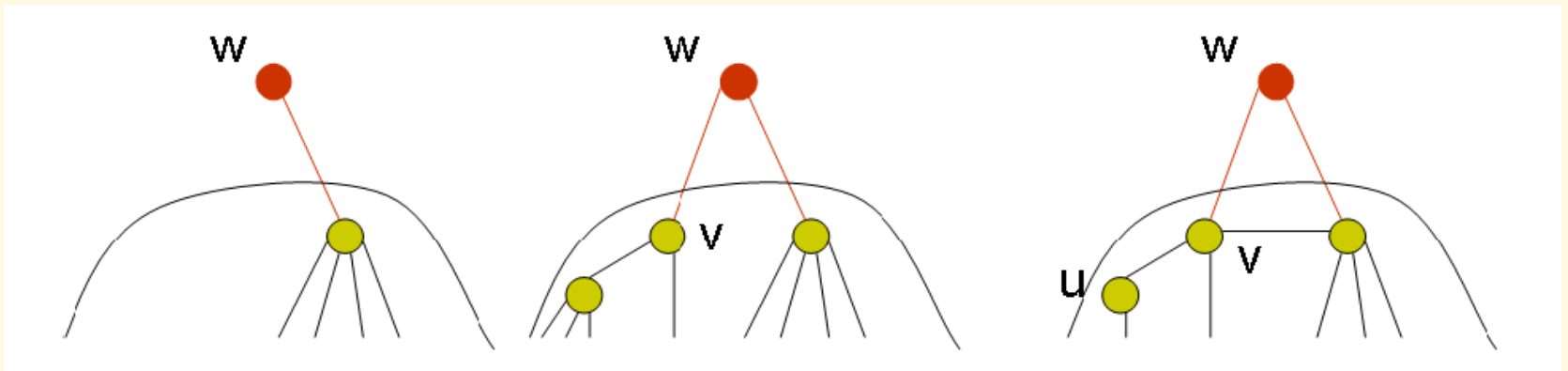
- (i) there exists a leaf  $w$ , or
- (ii) there exists an edge  $vw$  such that  $\deg(v) \leq 3$  and  $\deg(w) = 2$ , or
- (iii) there exists edges  $uv$  and  $vw$  such that  $\deg(u) = 2$ ,  $\deg(v) = 4$ , and  $\deg(w) = 2$ .

# Outerplanar graphs



# Outerplanar graphs

If  $G$  is an outerplanar graph, then  $G$  is acyclically  $(\Delta_0 + 1)$ -edge choosable and  $a_{\text{list}}'(G) \leq \Delta(G) + 1$ .



# Outerplanar graphs

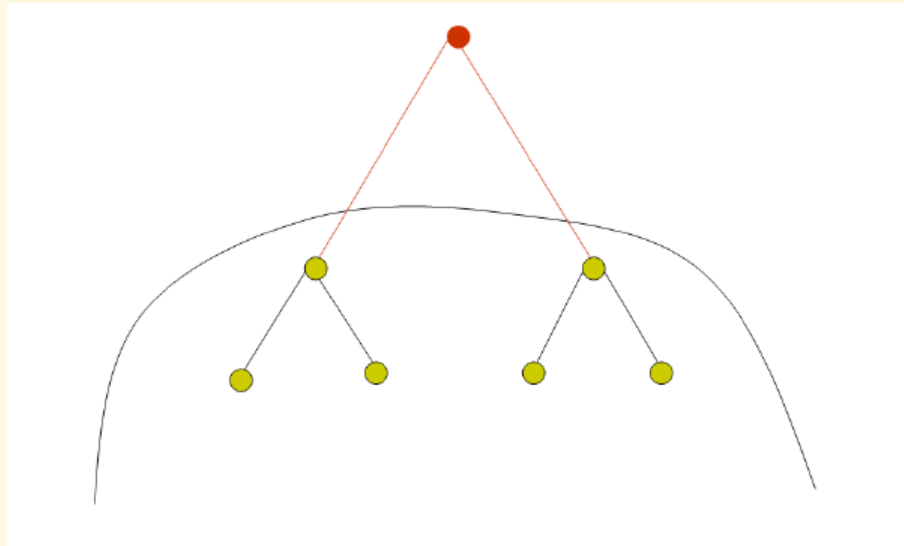
If  $G$  is an outerplanar graph with at most one face of length 3 or 4, then  $G$  is acyclically  $\max\{\Delta_0, 3\}$ -edge choosable. When  $\Delta(G) \geq 3$ ,  $a_{\text{list}}'(G) = \Delta(G)$ .

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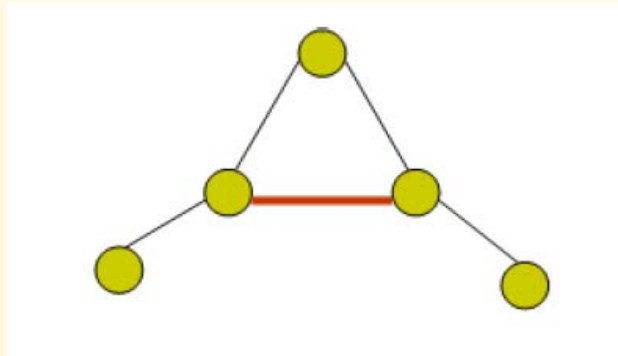
# Non-regular subcubic graphs

If a connected graph  $G$  satisfies  $\Delta(G) \leq 3$  and  $\delta(G) \leq 2$ , then  $G$  is acyclically  $(\Delta_0 + 1)$ -edge choosable and  $a_{\text{list}}'(G) \leq \Delta(G) + 1$ .



# Cubic graphs with triangles

If  $G \neq K_4$  is a connected cubic graph with girth 3, then  $G$  is acyclically  $(\Delta_0 + 1)$ -edge choosable and  $a_{\text{list}}'(G) \leq \Delta(G) + 1$ .



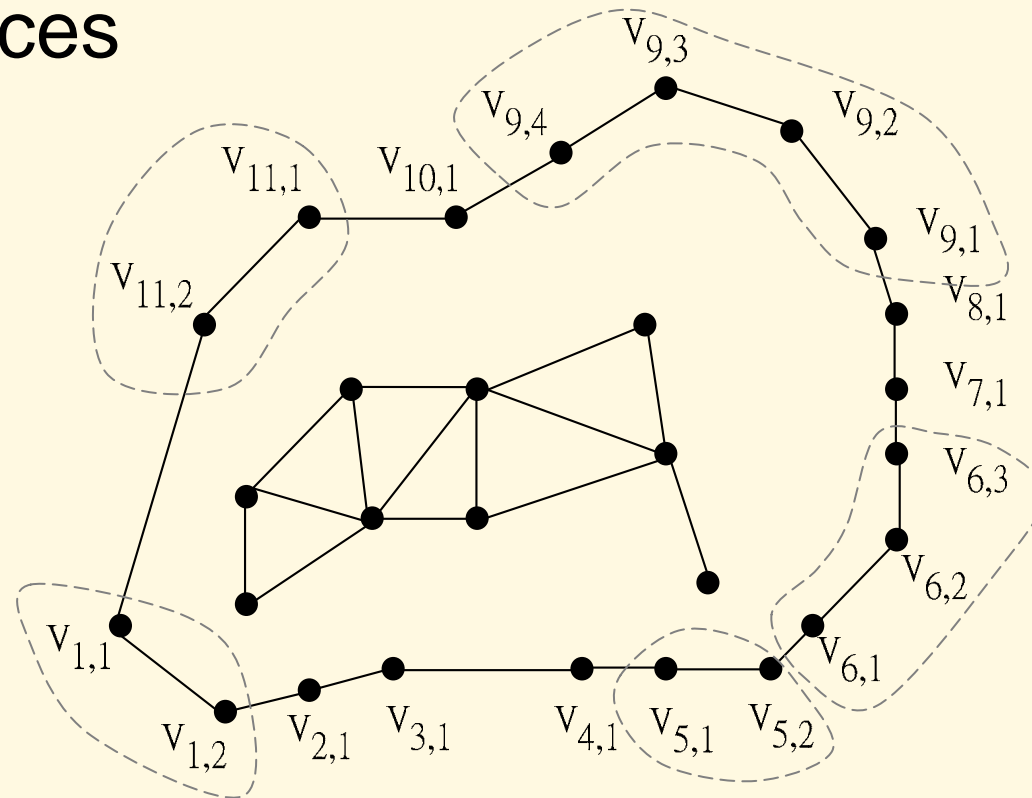
If  $G$  is a cubic graph, then  $a_{\text{list}}'(G) \leq 5$ .

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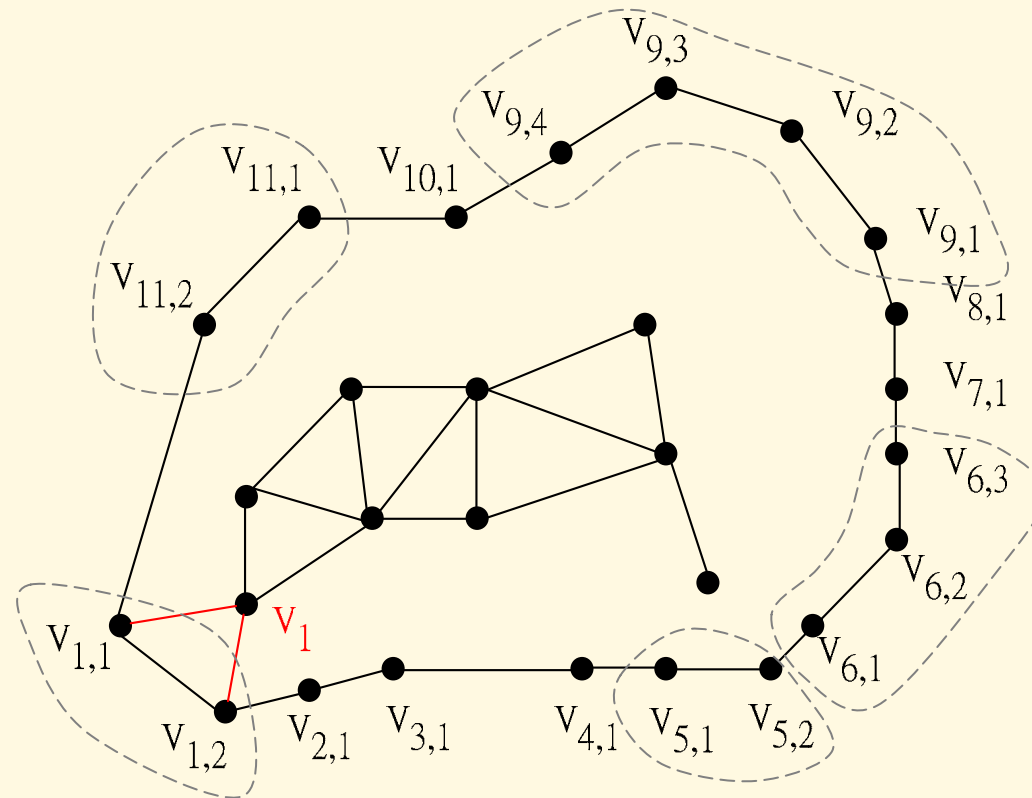
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# Attaching a cycle of type (2,1,1,1,2,3,1,1,4,1,2)

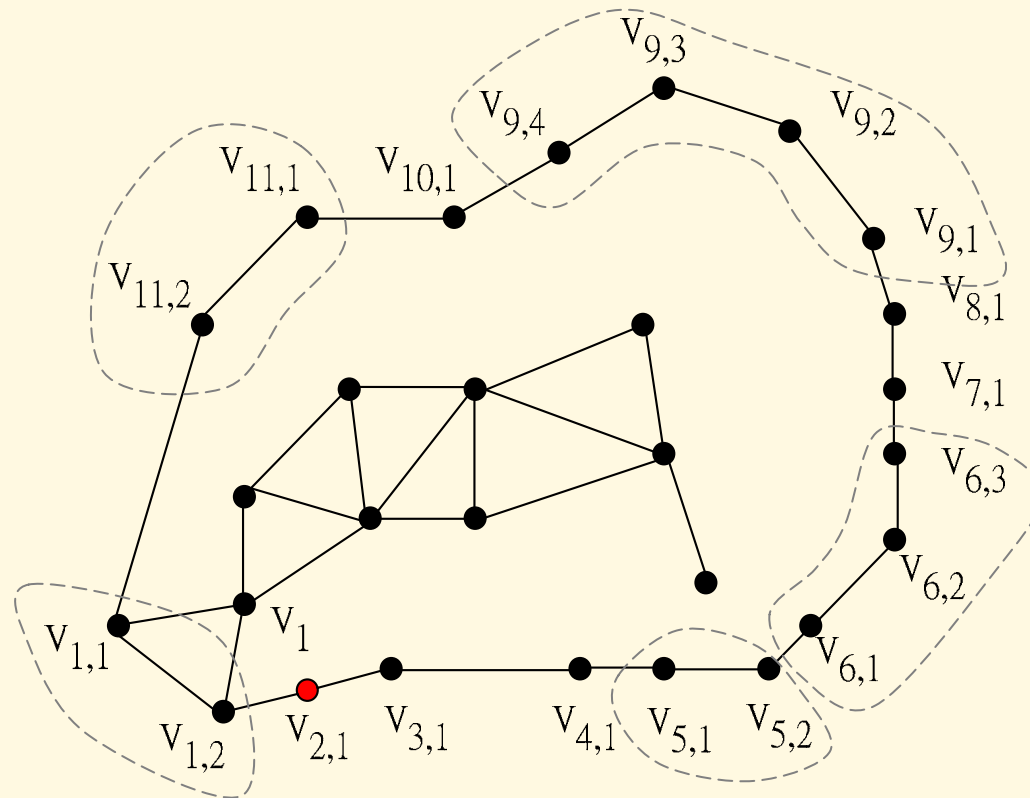
$2 + 1 + 1 + 1 + 2 + 3 + 1 + 1 + 4 + 1 + 2$   
 $= 19$  vertices



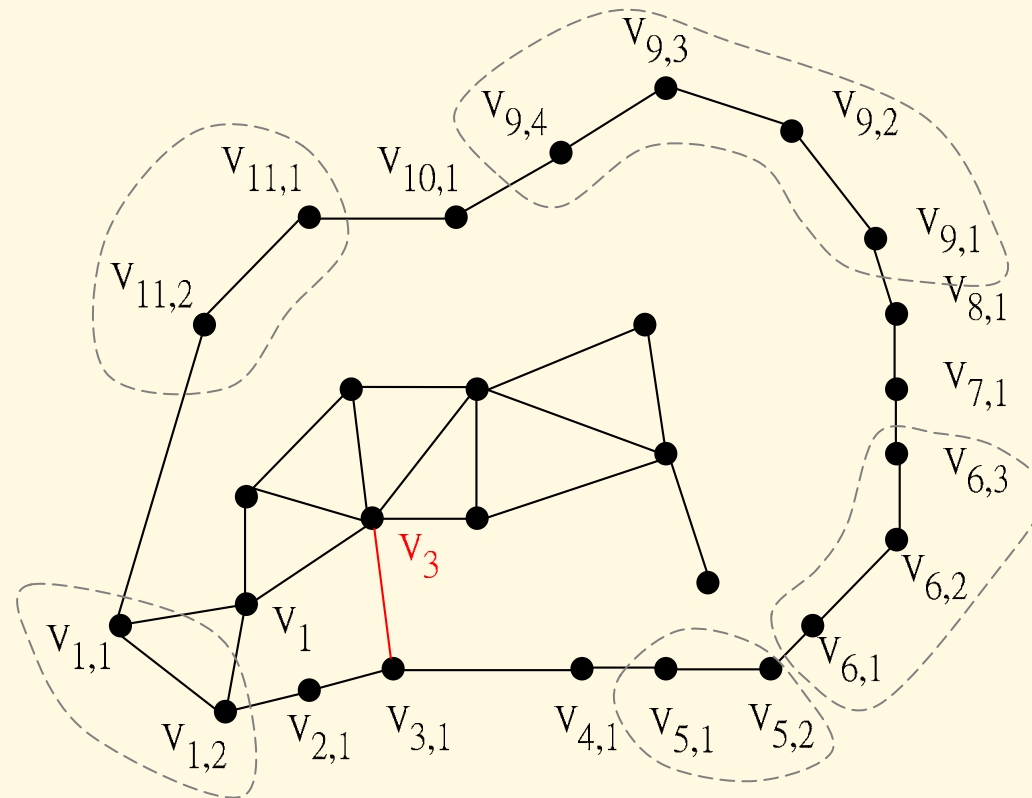
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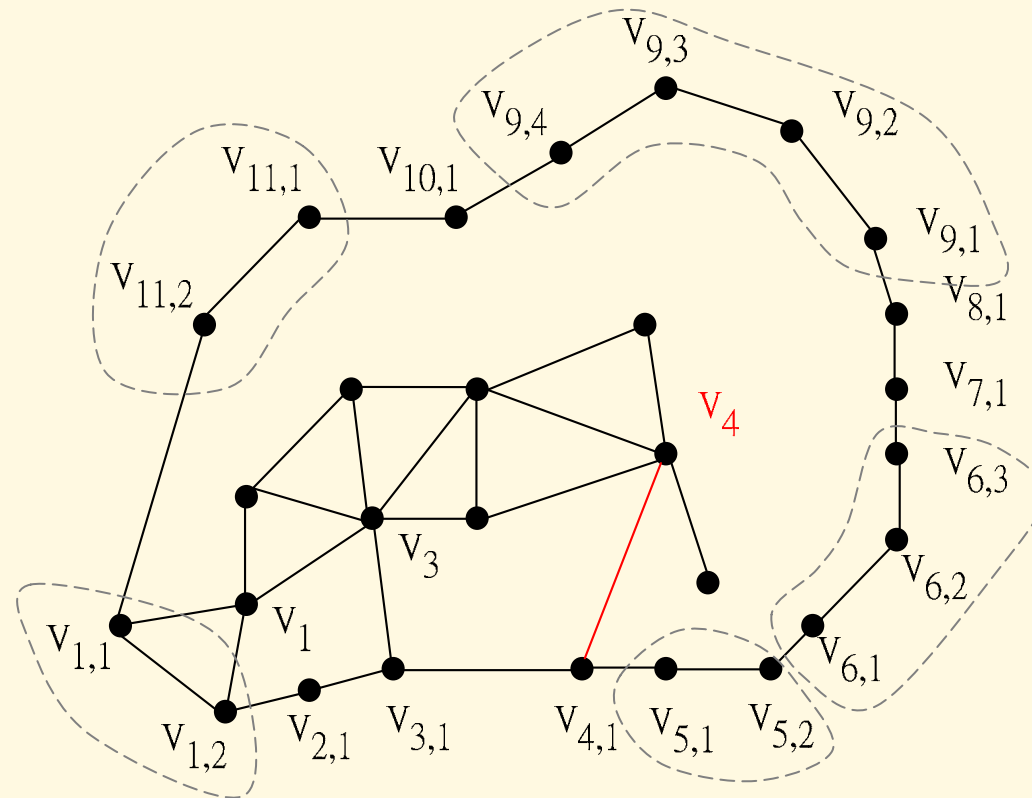
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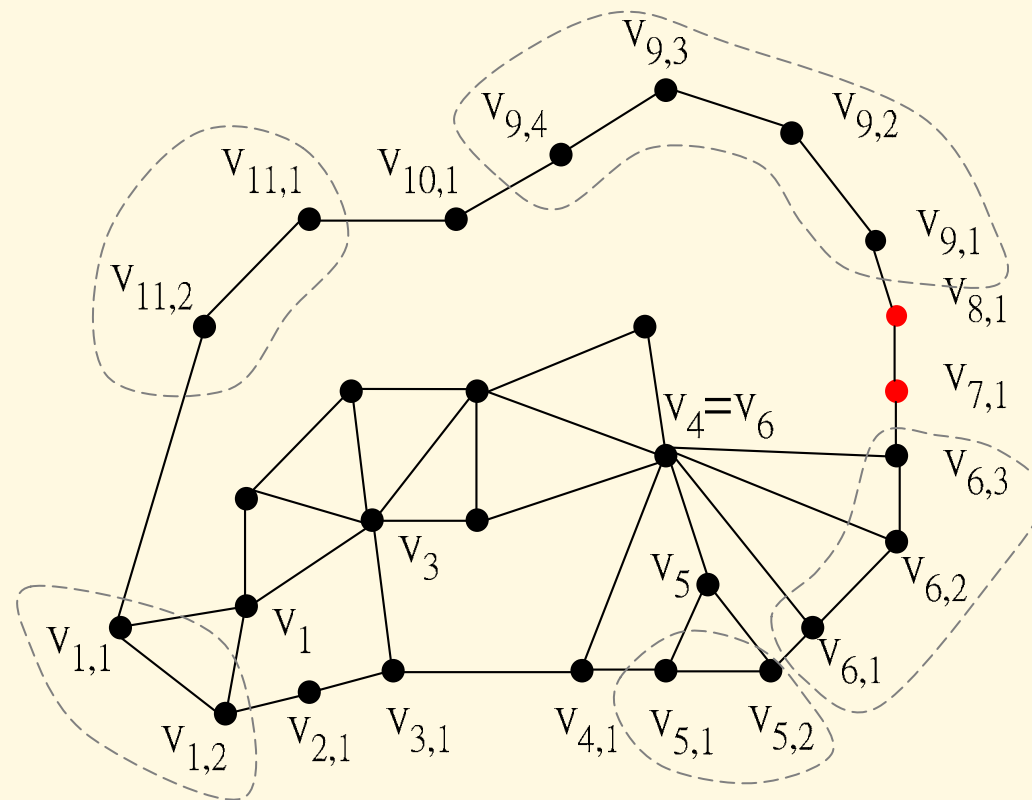
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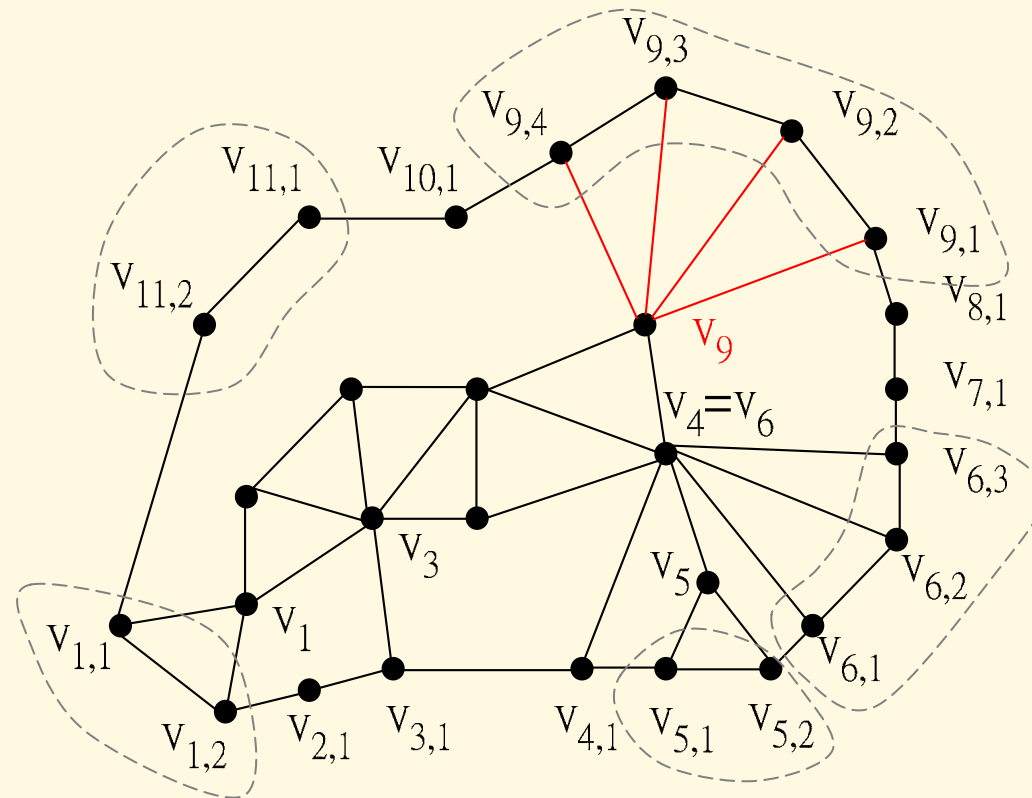




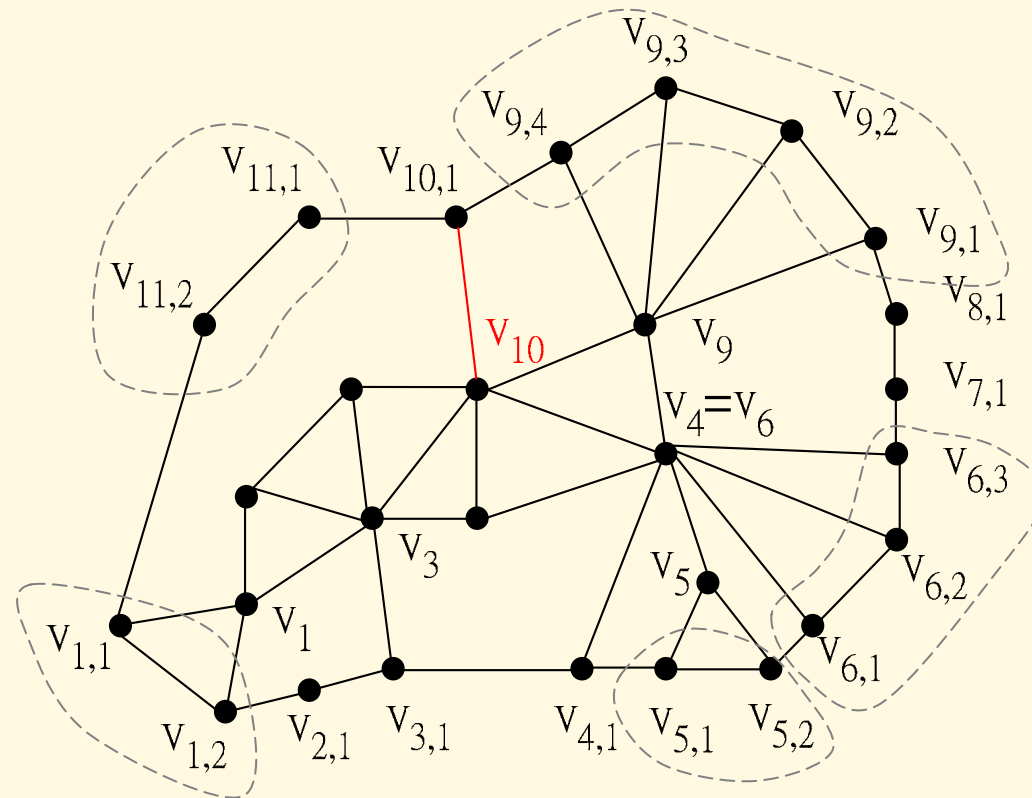
# Attaching a cycle of type (2,1,1,1,2,3,1,1,4,1,2)



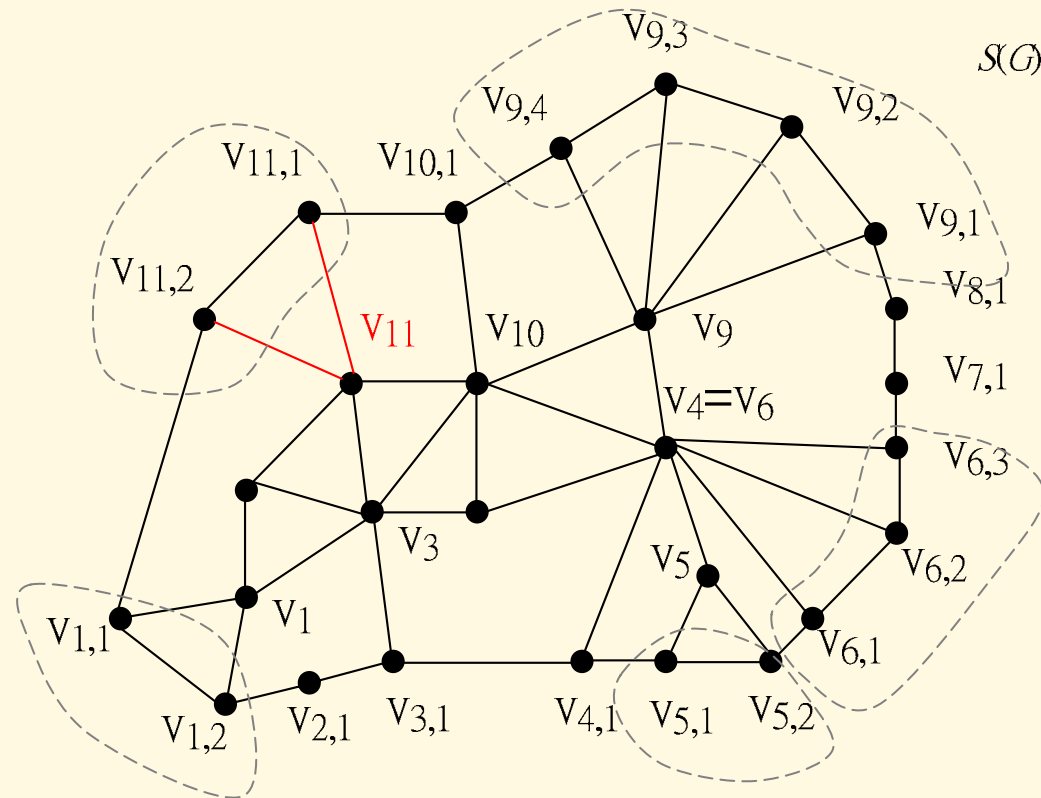
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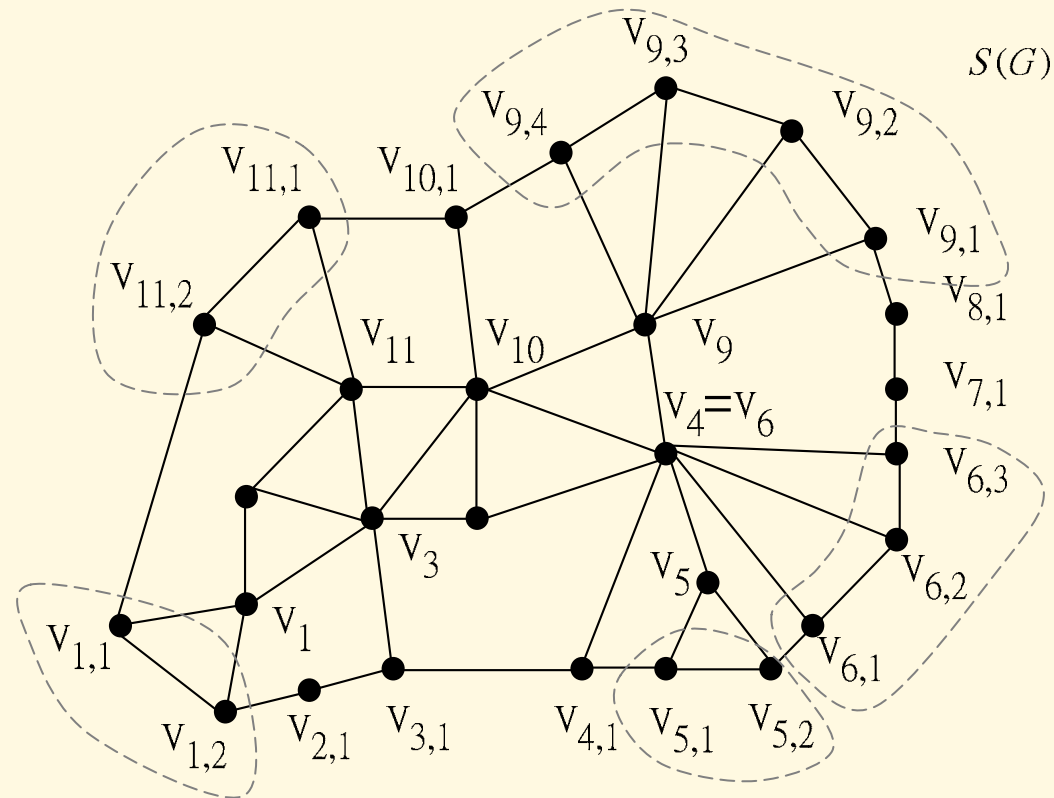
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# Attaching a cycle of type (2,1,1,1,2,3,1,1,4,1,2)



# Attaching a cycle of type (2,1,1,1,2,3,1,1,4,1,2)



## Attaching a cycle

Assume that  $S(G)$  is a graph obtained from  $G$  by attaching a cycle of type  $(l_1, l_2, \dots, l_k)$ , where  $\sum_{i=1}^k l_i \geq 4$ . Let  $l_i \geq 2$  for some  $i$  or let  $v_{j,1}$  have no neighbor in  $G$  for some  $j$ .

If  $G$  is acyclically  $\max\{\Delta_1 + 1, 5\}$ -edge choosable, so is  $S(G)$ .

If  $a_{\text{list}}'(G) \leq \max\{\Delta(G) + 1, 5\}$ , then  $a_{\text{list}}'(S(G)) \leq \max\{\Delta(S(G)) + 1, 5\}$ ,

## Attaching a cycle

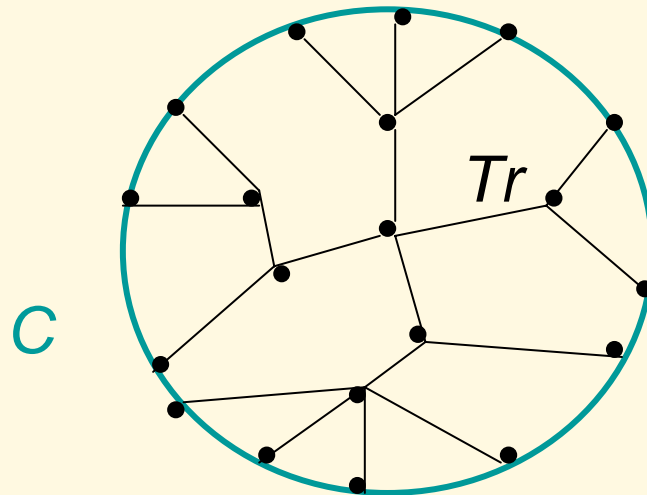
Assume that  $S(G)$  is a graph obtained from  $G$  by attaching a cycle of type  $(l_1, l_2, \dots, l_k)$ , where  $\sum_{i=1}^k l_i \geq 4$ . Let  $l_i \geq 3$  for some  $i$  and  $l_j \geq 2$  for each  $j$ . If  $G$  is acyclically  $\Delta_0$ -edge choosable, then  $S(G)$  is acyclically  $\max\{\Delta_0, 6\}$ -edge choosable.

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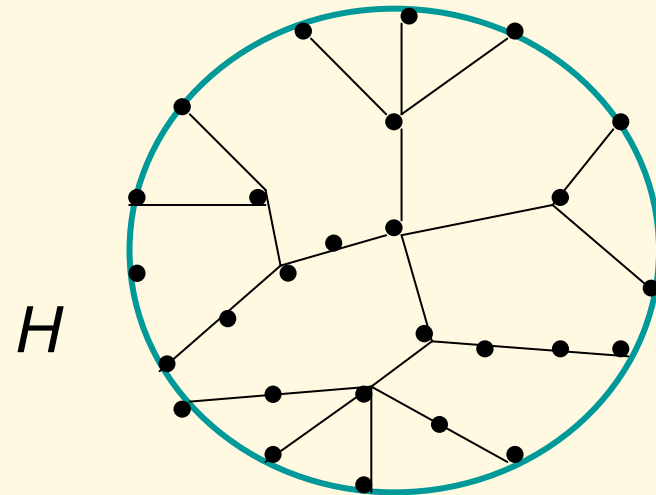
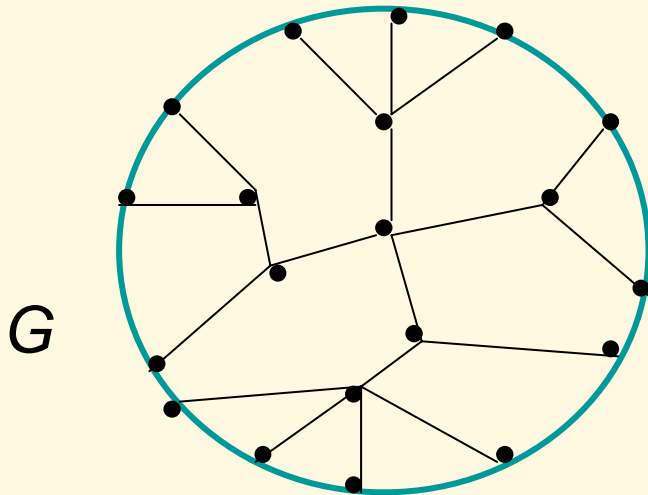
# Halin Graphs

A **Halin graph**  $H$  is a plane graph obtained by drawing a tree  $Tr$  in the plane, where  $Tr$  has no vertex of degree 2, and a cycle  $C$  through all leaves of  $Tr$  in the plane.



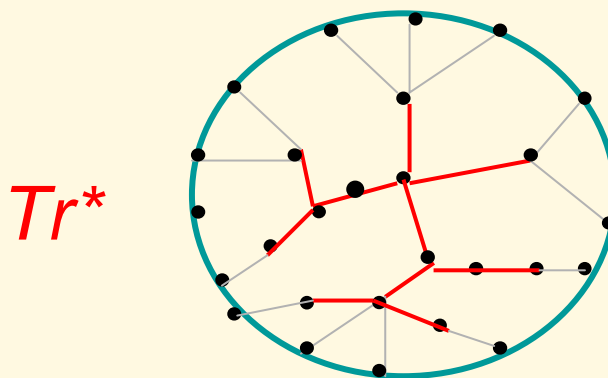
# Subdivisions

A graph  $H$  is called a **subdivision** of a graph  $G$  if  $H$  can be obtained from  $G$  by inserting new vertices in edges of  $G$ .



# Subdivisions of Halin graphs

If  $G = Tr^* \cup C^*$  is a subdivision of a Halin graph  $H = Tr \cup C$  and  $G \neq K_4$ , then  $G$  acyclically  $(\Delta_1 + 1)$ -edge choosable and  $a_{\text{list}}'(G) \leq \Delta(G) + 1$ .



# Halin graphs

If  $H = Tr \cup C$  is Halin graph that contains two triangles sharing a common edge, the  $H$  is acyclically  $\max\{\Delta_0, 6\}$ -edge choosable. In particular,  $a_{\text{list}}'(H) = \Delta(H)$  when  $\Delta(H) \geq 6$ .

# Overview

- Acyclic edge coloring
- Acyclic list edge coloring
- Useful lemmas
- Outerplanar graphs
- Subcubic graphs
- Attaching a cycle
- Halin graphs
- **Open problems**

## List Coloring Conjecture

For any graph  $G$ ,  $\chi_{\text{list}}'(G) = \chi'(G)$ .

### Open problem 1

Does  $a_{\text{list}}'(G) = a'(G)$  hold for every graph  $G$ ?

## Open problem 2

Does  $a_{\text{list}}'(G) \leq \Delta(G) + 2$  hold for every graph  $G$ ?

Stronger forms:

Is  $G$  acyclically  $(\Delta_1 + 2)$ -edge choosable for any  $G$ ?

Is  $G$  acyclically  $(\Delta_0 + 2)$ -edge choosable for any  $G$ ?